

FORM NL-9-PATTERN OF SHAREHOLDING SCHEDULE

PATTERN OF SHAREHOLDING

[As certified by the Management]

Shareholder	As at 30th September 2021		As At 30th September 2020	
	Number of Shares	% of Holding	Number of Shares	% of Holding
Promoters				
· Indian	2,407	65.39%	2,407	65.39%
· Foreign	1,274	34.61%	1,274	34.61%
Investors*				
· Indian				
· Foreign				
Others (to be specified e.g. ESOP etc.)	-	0.00%	-	0.00%
TOTAL	3,682	100.00%	3,682	100.00%

Notes: -

Investors as defined under IRDAI (Transfer of Equity Shares of Insurance Companies) Regulations, 2015 and as amended from time to time

DETAILS OF EQUITY HOLDINGS OF INSURERS

PART A:

PARTICULARS OF THE SHAREHOLDING PATTERN OF THE UNIVERSAL SOMPO GENERAL INSURANCE COMPANY LTD. AS AT QUARTER ENDED 30th SEPTEMBER, 2021

Sl. No.	Category	No. of Investors	No. of Shares held	% of shareholdings	Paid up equity (Rs. in lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of	As a Percentage of $\frac{\text{VII}}{\text{VI}} \times 100$	Number of Shares	As a percentage of
(I)	(II)		(III)	(IV)	(V)				
A	Promoters & Promoters Group								
A.1	Indian Promoters								
	Individuals/HUF								
	(Names of major shareholders):								
	i								
	ii Bodies Corporate :								
	Dabur Investment Corporation (Partnership Firm)	1	4,71,47,727	12.81	4,715	-	-	1,21,47,727	25.77
	iii Financial Institutions/Banks								
	(i) Indian Bank	4	10,50,00,000	28.52	10,500	-	-	-	-
	(ii) Indian Overseas Bank	2	6,65,00,000	18.06	6,650	-	-	-	-
	(iii) Karnataka Bank Limited	1	2,20,90,910	6.00	2,209	-	-	-	-
	Central Government/State Government(s)/President of India								
	iv								
	v Person acting in Concert (Please Specify)								
	vi Any other (Please Specify)								
A.2	Foreign Promoters								
	Individuals (Names of major shareholders):								
	i								
	ii Bodies Corporate :								
	(i) Sompo Japan Insurance Inc	1	12,74,43,183	34.61	12,744	-	-	3,64,43,183	28.60
	(ii)								
	(iii)								
	iii Any other (Please specify)								
B	Non Promoters								
B.1	Public Shareholders								
1.1	Institutions								
	i Mutual Funds								
	ii Foreign Portfolio Investors								
	iii Financial Institutions/Banks								
	iv Insurance Companies								
	v FI belonging to Foreign Promoter								
	vi FI belonging to Foreign Promoter of Indian Promoter								
	vii Provident Fund/Pension Fund								
	viii Alternative Investment Fund								
	ix Any other (Please Specify)								
1.2	Central Government/State Government(s)/President of India								
1.3	Non-Institutions								
	i Individual Share Capital upto Rs. 2 Lacs								
	ii Individual Share Capital in excess of Rs. 2 Lacs								
	iii NBFC's registered with RBI								
	iv Others:								
	-Trusts								
	-Non Resident Indian (NRI)								
	-Clearing Members								
	-Non Resident Indian Non Repatriable								
	-Bodies Corporate								
	-IEPF								
	v Any other (Please specify)								
B.2	Non Public Shareholders								
2.1	Custodian/DR Holder								
2.2	Employee Benefit Trust								
2.3	Any Other (Please Specify)								
	Total	9	36,81,81,820	100.00	36,818	-	-	4,85,90,910	13.20

Footnotes:

- i All holdings, above 1% of the paid up equity, have to be separately disclosed.
ii Indian Promoters-As defined Regulation 2(1)(g) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies Regulations, 2000)
iii Where a Company is listed, the column "Shares pledged or otherwise encumbered" shall not be applicable to "Non Promoters" Category.

PART (B):

PARTICULARS OF THE SHAREHOLDING PATTERN IN THE INDIAN PROMOTER COMPANY(S) AS INDICATED AT (A) ABOVE AS ON 30th SEPTEMBER, 2021

Name of the Indian Promoter/Indian Investor : Indian Bank

Sl. No.	Category	No. of Investors	No. of Shares held	% of shareholdings	Paid up equity (Rs. in lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of Shares (VI)	As a Percentage of (VII)=(VI) / (III)*100	Number of Shares (VIII)	As a Percentage of (IX)=(VIII) / (III)*100
(I)	(II)		(III)	(IV)	(V)				
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i	Individuals/HUF (Names of major Shareholders):		-	-	-	-	-	-	-
ii	Bodies Corporate :		-	-	-	-	-	-	-
iii	Financial Institutions/Banks		-	-	-	-	-	-	-
iv	Central Government/Satte Government(s)/President of India	1	99,45,49,600	79.86	99,54,96	-	-	51,66,26,890	51.95
v	Person acting in Concert (Please Specify)		-	-	-	-	-	-	-
vi	Any other (Please Specify)		-	-	-	-	-	-	-
A.2	Foreign Promoters								
i	Individuals (Names of major shareholders):		-	-	-	-	-	-	-
ii	Bodies Corporate \$:		-	-	-	-	-	-	-
iii	Any other (Please specify)		-	-	-	-	-	-	-
B	Non Promoters		-	-	-	-	-	-	-
B.1	Public Shareholders								
1.1	Institutions								
i	Mutual Funds	18	6,95,27,184	5.58	6,952.72	0	0	0	0
ii	Foreign Portfolio Investors	58	2,95,31,189	2.37	2,953.12	0	0	0	0
iii	Financial Institutions/Banks	7	95,22,692	0.76	952.27	0	0	0	0
iv	Insurance Companies	10	4,84,87,580	3.89	4,848.76	0	0	0	0
v	FII belonging to Foreign Promoter #		-	-	-	-	-	-	-
vi	FII belonging to Foreign Promoter of Indian Promoter #		-	-	-	-	-	-	-
vii	Provident Fund/Pension Fund		-	-	-	-	-	-	-
viii	Alternative Investment Fund	2	36,44,092	0.29	364.41	-	-	-	-
ix	Any other (Please Specify)		-	-	-	-	-	-	-
	-Foreign Institutional Investors	5	4,86,362	0.04	48.64	-	-	-	-
1.2	Central Government/State Government(s)/President of India	1	4,021	0.00	0.40	0	0	0	-
1.3	Non-Institutions								
i	Individual Share Capital upto Rs. 2 Lacs	303421	4,92,64,979	3.96	4,926.50	0	0	0	0
ii	Individual Share Capital in excess of Rs. 2 Lacs	180	1,19,99,011	0.96	1,199.90	0	0	0	0
iii	NBFC's registered with RBI		-	-	-	-	-	-	-
iv	Others:		-	-	-	-	-	-	-
	-Trusts	19	1,85,246	0.01	18.52	0	0	0	0
	-Non Resident Indian (NRI)	1677	14,61,239	0.12	146.12	0	0	0	0
	-Clearing Members	187	24,20,980	0.19	242.10	0	0	0	0
	-Non Resident Indian Non Repatriable	1039	4,71,279	0.04	47.13	0	0	0	0
	-Bodies Corporate	950	65,73,400	0.53	657.34	0	0	0	0
	-IEPF		-	-	-	0	0	0	0
v	Any other (Please specify)		-	-	-	-	-	-	-
	Unclaimed Shares/Escrow Account SA	2	3,862.00	0.00	0.39	0	0	0	0
	Employee	2876	5,79,406	0.05	57.94	0	0	0	0
	Directors & Relatives	5	6,990	0.00	0.70	0	0	0	0
	HUF	3604	24,27,024	0.19	242.70	0	0	0	0
	-ESOP/ESOS/ESPS	29901	1,42,95,003	1.15	1,429.50	-	-	-	-
B.2	Non Public Shareholders								
2.1	Custodian/DR Holder		-	-	-	-	-	-	-
2.2	Employee Benefit Trust		-	-	-	-	-	-	-
2.3	Any Other (Please Specify)		-	-	-	-	-	-	-
	e-ALB Unclaimed Shares DEMAT Suspense Account		-	-	-	-	-	0	0
	Indian Bank Unclaimed IPO Securities Suspense A/c		-	-	-	-	-	0	0
	Total	3,43,963	1,24,54,41,139	100	1,24,544	-	-	51,66,26,890	41.48

Footnotes:

- At A.1 and A.2 of Part B above, the names of individuals and bodies corporate must be specifically and separately mentioned.
 - Insurers are required to highlight the categories which fall within the purview of clause 11 (1) (ii) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies Regulations, 2000).
 - Details of investors (excluding employees holding under ESOP) have to be provided where the Insurance Company is unlisted.
 - Details of Indian investors, singly and jointly holding more than 1%, have to be provided where the Insurance Company is listed.
- # Please specify the names of the FIIs, indicating those FIIs which belong to the Group of the Joint Venture partner/foreign investor of the Indian insurance company
 \$ Please specify the names of the OCBs, indicating those OCBs which belong to the Group of the Joint Venture partner/foreign investor of the Indian insurance company

Part (B)

PARTICULARS OF THE SHAREHOLDING PATTERN IN THE INDIAN PROMOTER COMPANY(S) AS INDICATED AT (A) ABOVE AS ON 30th SEPTEMBER, 2021

Name of the Indian Promoter/Indian Investor : Indian Overseas Bank

Sl. No.	Category	No. of Investors	No. of Shares held	% of shareholding s	Paid up equity (Rs. in lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of Shares (VI)	As a Percentage of (VII)=(VI)/(III)*100	Number of Shares (VIII)	As a Percentage of (IX)=(VIII)/(III)*100
(I)	(II)		(III)	(IV)	(V)				
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i	Individuals/HUF (Names of major Shareholders):		-	-	-	-	-	-	-
ii	Bodies Corporate :		-	-	-	-	-	-	-
iii	Financial Institutions/Banks		-	-	-	-	-	-	-
iv	Central Government/Satte Government(s)/President of India President of India	1	18,21,83,26,570	96.38	18,21,832.6570	-	-	18,21,83,26,570	100.00
v	Person acting in Concert (Please Specify)		-	-	-	-	-	-	-
vi	Any other (Please Specify)		-	-	-	-	-	-	-
A.2	Foreign Promoters								
i	Individuals (Names of major shareholders):		-	-	-	-	-	-	-
ii	Bodies Corporate \$:		-	-	-	-	-	-	-
iii	Any other (Please specify)		-	-	-	-	-	-	-
B	Non Promoters								
B.1	Public Shareholders								
1.1	Institutions								
i	Mutual Funds	4	53,38,984	0.03	533.8984	0	0	0	0
ii	Foreign Portfolio Investors (Corporate)	32	1,52,88,387	0.08	1,528.8387	0	0	0	0
iii	Financial Institutions/Banks	8	23,60,21,255	1.25	23,602.1255	0	0	0	0
iv	Insurance Companies	4	46,81,678	0.02	468.1678	0	0	0	0
v	FII belonging to Foreign Promoter #	-	-	-	-	-	-	-	-
vi	FII belonging to Foreign Promoter of Indian Promoter	-	-	-	-	-	-	-	-
vii	Provident Fund/Pension Fund	-	-	-	-	-	-	-	-
viii	Alternative Investment Fund	-	-	-	-	-	-	-	-
ix	Any other (Foreign Institutional Investors)	-	-	-	-	-	-	-	-
1.2	Central Government/State Government(s)/President of India	-	-	-	-	-	-	-	-
1.3	Non-Institutions								
i	Individual Share Capital upto Rs. 2 Lacs	423594	23,26,68,585	1.23	23,266.8585	0	0	100	0
ii	Individual Share Capital in excess of Rs. 2 Lacs	1196	6,49,09,972	0.34	6,490.9972	0	0	0	0
iii	NBFC's registered with RBI								
iv	Others:								
	-Trusts	15	4,33,726	0.00	43.3726	0	0	0	0
	-Non Resident Indian (NRI)	2268	71,49,097	0.04	714.9097	0	0	0	0
	-Clearing Members	176	66,00,303	0.03	660.0303	0	0	0	0
	-Non Resident Indian Non Repatriable	909	18,97,023	0.01	189.7023	0	0	0	0
	-Bodies Corporate	1010	3,02,29,471	0.16	3,022.9471	0	0	0	0
	-IEPF								
v	Any other								
	-Unclaimed/Suspense/Escrow Account	1	54,800	0.00	5.4800	0	0	0	0
	-Hindu Undivided Family	3062	84,73,545	0.04	847.3545	0	0	0	0
	-Overseas Corporate Bodies	1	48,000	0.00	4.8000	0	0	0	0
	-ESOP/ESOS/ESPS	11787	7,02,90,860	0.37	7,029.0860	0	0	0	0
B.2	Non Public Shareholders								
2.1	Custodian/DR Holder	-	-	-	-	-	-	-	-
2.2	Employee Benefit Trust	-	-	-	-	-	-	-	-
2.3	Any Other (Please Specify)	-	-	-	-	-	-	-	-
	Total	4,44,068	18,90,24,12,256	100.00	18,90,241.2256	-	-	18,21,83,26,670	96.38

Footnotes:

- At A.1 and A.2 of Part B above, the names of individuals and bodies corporate must be specifically and separately mentioned.
- Insurers are required to highlight the categories which fall within the purview of clause 11 (1) (ii) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies Regulations, 2000).
- Details of investors (excluding employees holding under ESOP) have to be provided where the Insurance Company is unlisted.
- Details of Indian investors, singly and jointly holding more than 1%, have to be provided where the Insurance Company is listed.
- # Please specify the names of the FIIs, indicating those FIIs which belong to the Group of the Joint Venture partner/foreign investor of the Indian insurance company
- \$ Please specify the names of the OCBs, indicating those OCBs which belong to the Group of the Joint Venture partner/foreign investor of the Indian insurance company

PART (B):

PARTICULARS OF THE SHAREHOLDING PATTERN IN THE INDIAN PROMOTER COMPANY(S) AS INDICATED AT (A) ABOVE AS ON 30th SEPTEMBER, 2021

Name of the Indian Promoter/Indian Investor : Karnataka Bank Limited

Sl. No.	Category	No. of Investors	No. of Shares held	% of shareholding s	Paid up equity (Rs. in lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of Shares (VI)	As a Percentage of (VII)=(VI)/(III)*100	Number of Shares (VIII)	As a Percentage of (IX)=(VIII)/(III)*100
(I)	(II)		(III)	(IV)	(V)				
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i	Individuals/HUF (Names of major Shareholders):	-	-	-	-	-	-	-	-
ii	Bodies Corporate :	-	-	-	-	-	-	-	-
iii	Financial Institutions/Banks	-	-	-	-	-	-	-	-
iv	Central Government/Satte Government(s)/President of India	-	-	-	-	-	-	-	-
v	Person acting in Concert (Please Specify)	-	-	-	-	-	-	-	-
vi	Any other (Please Specify)	-	-	-	-	-	-	-	-
A.2	Foreign Promoters								
i	Individuals (Names of major shareholders):	-	-	-	-	-	-	-	-
ii	Bodies Corporate \$:	-	-	-	-	-	-	-	-
iii	Any other (Please specify)	-	-	-	-	-	-	-	-
B	Non Promoters								
B.1	Public Shareholders								
1.1	Institutions								
i	Mutual Funds	1	1,829	0.00	0.18	0	0	0	0
ii	Foreign Portfolio Investors	80	2,82,83,153	9.10	2,828.32	0	0	0	0
iii	Financial Institutions/Banks	4	924	0.00	0.09	0	0	0	0
iv	Insurance Companies	4	1,75,24,428	5.64	1,752.44	0	0	0	0
v	FII belonging to Foreign Promoter #	-	-	-	-	-	-	-	-
vi	FII belonging to Foreign Promoter of Indian Promoter	-	-	-	-	-	-	-	-
vii	Provident Fund/Pension Fund	-	-	-	-	-	-	-	-
viii	Alternative Investment Fund	-	-	-	-	0	0	0	0
ix	Any other (Please Specify)	-	-	-	-	-	-	-	-
1.2	Central Government/State Government(s)/President of India	-	-	-	-	-	-	-	-
1.3	Non-Institutions								
i	Individual Share Capital upto Rs. 2 Lacs	2,15,109	13,77,31,135	44.31	13,773.11	0	0	0	0
ii	Individual Share Capital in excess of Rs. 2 Lacs	1,218	9,89,80,035	31.84	9,898.00	0	0	0	0
iii	NBFC's registered with RBI	3	42,205	0.01	4	0	0	0	0
iv	Others:								
-Trusts		5	7,598	0.00	1	0	0	0	0
-Non Resident Indian (NRI)		2,934	99,75,934	3.21	997.59	0	0	0	0
-Clearing Members		162	11,67,450	0.38	116.75	0	0	0	0
-Non Resident Indian Non Repatriable		-	-	-	-	-	-	-	-
-Bodies Corporate		937	1,62,02,122	5.21	1,620.21	0	0	0	0
-IEPF		1	8,67,931	0.28	86.79	0	0	0	0
v	Any other (Unclaimed suspense a/c & Foreign National)	1	84,945	0.03	8.49	0	0	0	0
B.2	Non Public Shareholders								
2.1	Custodian/DR Holder	-	-	-	-	-	-	-	-
2.2	Employee Benefit Trust	-	-	-	-	-	-	-	-
2.3	Any Other (Please Specify)	-	-	-	-	-	-	-	-
	Total	2,20,459	31,08,69,689	100.00	31,087	-	-	0	-

Footnotes:

- At A.1 and A.2 of Part B above, the names of individuals and bodies corporate must be specifically and separately mentioned.
 - Insurers are required to highlight the categories which fall within the purview of clause 11 (1) (ii) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies Regulations, 2000).
 - Details of investors (excluding employees holding under ESOP) have to be provided where the Insurance Company is unlisted.
 - Details of Indian investors, singly and jointly holding more than 1%, have to be provided where the Insurance Company is listed.
- # Please specify the names of the FIIs, indicating those FIIs which belong to the Group of the Joint Venture partner/foreign investor of the Indian insurance company
 \$ Please specify the names of the OCBs, indicating those OCBs which belong to the Group of the Joint Venture partner/foreign investor of the Indian insurance company

Statement showing Shareholding of FIIs/FPIs

Under Non Promoters Category holding more than 1% of the total number of shares

Sl. No.	Name of the Shareholder	No. of Shares held	Shares as % of Total of Grand Total
1	LSV EMERGING MARKETS EQUITY FUND LP	43,32,240	1.39
	TOTAL	43,32,240	1.39

PART (B):

PARTICULARS OF THE SHAREHOLDING PATTERN IN THE INDIAN PROMOTER COMPANY(S) AS INDICATED AT (A) ABOVE AS ON 30th SEPTEMBER, 2021

Name of the Indian Promoter/Indian Investor : Dabur Investment Corporation

Sl. No.	Category	No. of Investors	No. of Shares held	% of shareholdings	Paid up equity (Rs. in lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of Shares (VI)	As a Percentage of (VII)=(VI)/(III)*100	Number of Shares (VIII)	As a Percentage of (IX)=(VIII)/(III)*100
(I)	(II)		(III)	(IV)	(V)				
A	Promoters & Promoters Group								
A.1	Indian Promoters								
	Individuals/HUF								
i	(Names of major Shareholders):								
	i. Mr. Mohit Burman		-	95.00	6,997				
	ii. Mr. Vivek Chand Burman			5.00	17				
ii	Bodies Corporate :		-	-	-				
iii	Financial Institutions/Banks		-	-	-				
iv	Central Government/Satte Government(s)/President of India								
v	Person acting in Concert (Please Specify)		-	-	-				
vi	Any other (Please Specify)		-	-	-				
A.2	Foreign Promoters								
i	Individuals (Names of major shareholders):		-	-	-				
ii	Bodies Corporate \$:		-	-	-				
iii	Any other (Please specify)		-	-	-				
B	Non Promoters		-	-	-				
B.1	Public Shareholders								
1.1	Institutions								
i	Mutual Funds		-	-	-				
ii	Foreign Portfolio Investors		-	-	-				
iii	Financial Institutions/Banks		-	-	-				
iv	Insurance Companies		-	-	-				
v	FI belonging to Foreign Promoter #		-	-	-				
vi	FI belonging to Foreign Promoter of Indian Promoter #		-	-	-				
vii	Provident Fund/Pension Fund		-	-	-				
viii	Alternative Investment Fund		-	-	-				
ix	Any other (Please Specify)		-	-	-				
1.2	Central Government/State Government(s)/President of India		-	-	-				
1.3	Non-Institutions								
i	Individual Share Capital upto Rs. 2 Lacs		-	-	-				
ii	Individual Share Capital in excess of Rs. 2 Lacs		-	-	-				
iii	NBFCs registered with RBI		-	-	-				
iv	Others:		-	-	-				
	-Trusts		-	-	-				
	-Non Resident Indian (NRI)		-	-	-				
	-Clearing Members		-	-	-				
	-Non Resident Indian Non Repatriable		-	-	-				
	-Bodies Corporate		-	-	-				
	-IEPF		-	-	-				
v	Any other (Please specify)		-	-	-				
B.2	Non Public Shareholders								
2.1	Custodian/DR Holder		-	-	-				
2.2	Employee Benefit Trust		-	-	-				
2.3	Any Other (Please Specify)		-	-	-				
	Total	0	-	100.00	7,014	-	-	-	-

Footnotes:

- At A.1 and A.2 of Part B above, the names of individuals and bodies corporate must be specifically and separately mentioned.
- Insurers are required to highlight the categories which fall within the purview of clause 11 (1) (ii) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies Regulations, 2000).
- Details of investors (excluding employees holding under ESOP) have to be provided where the Insurance Company is unlisted.
- Details of Indian investors, singly and jointly holding more than 1%, have to be provided where the Insurance Company is listed.
- Please specify the names of the FIs, indicating those FIs which belong to the Group of the Joint Venture partner/foreign investor of the Indian insurance company
- Please specify the names of the OCBs, indicating those OCBs which belong to the Group of the Joint Venture partner/foreign investor of the Indian insurance company